

FINANCE AND ADMINISTRATION CABINET
Proposed Projects Involving the General Fund (Cash or Bonds)
(amounts in **bold** are the total budget)

2014-2016

(Projects listed by cabinet priority; descriptions are from the agency submission)

- 1 Energy Management System Implementation** **\$11,000,000**
This project will implement the Commonwealth Energy Management and Control System (CEMCS), which will support a more global ability to monitor, measure, report and ultimately conserve energy consumption throughout all state-owned buildings.(C-O) *DFSS Priority #5*
- 2 Kentucky One Stop Phase 2** **\$7,243,000**
The vision for the Kentucky One Stop is to provide reliable, cost-effective services to citizens through creation of a single, easy to use unified entry point to state government. (IT) *Office of Admin. Services Priority #1*
- 3 Emergency Repair, Maintenance, and Replacement Fund** **\$12,500,000**
Funds from the Emergency Repair, Maintenance, and Replacement Fund are used (per KRS 45.780) to fund unforeseen repair, maintenance, and replacement projects for state-owned facilities throughout the Commonwealth. (C-PI) *DFSS Priority #1*
- 4 Capital Construction and Equipment Purchase Contingency Fund** **\$12,500,000**
Contingency fund for unexpected, unforeseen capital construction project expenditures and/or major equipment purchases (per KRS 45.770). (C-PI) *DFSS Priority #2*
- 5 Maintenance Pool 2014 - 2016** **\$9,000,000**
The Department for Facilities and Support Services maintains 85+ facilities in Frankfort and outlying counties. These facilities require major maintenance for such things as HVAC, concrete repairs, parking lot and other asphalt repairs, construction efforts, etc. This pool will be utilized to perform such projects. (C-PI) *DFSS Priority #3*
- 6 Upgrade Data Center Readiness** **\$5,000,000**
Data Center readiness assessments were performed to prepare the Commonwealth Data Center (CDC) for consolidation of distributed agency IT data centers. It was assessed at a Tier II level based on the Uptime Institute Classification Tiers. COT desires to increase to Tier Level III. A Tier II has redundancy of major components, but has a single point of failure and lacks the full redundancy of the power and cooling distribution typically associated with newer and more highly reliable data centers. Focus areas for CDC include upgrades for architectural, fire protection, mechanical, electrical, security, environmental monitoring, cable plants and fitments of an alternate data center. (C-PI) *DFSS Priority #4*

- 7 Renewal of the Capital Plaza Complex** **\$132,400,000**
In the 2006-2008 Budget, the General Assembly authorized a project to design the renovation of the Capital Plaza Complex. A consulting architectural and engineering team was hired to perform the design of the renovation and one of their first efforts was to perform a facility assessment. During that assessment it became apparent to them that a renovation of the existing facility would not be the most cost effective long term strategy. In their initial presentation of their findings they recommended that an alternate approach be investigated. This approach would replace the existing tower with a new, modern, much more efficient 270,000 sf. (316,000-gross-square-foot) office building, provide additional green space, demolish the overpasses on Mero and Clinton streets, remove the pavers on the plaza level, provide new parking structures and renovate the Fountain Place shops plaza and garage, and renovate the YMCA garage. This project request is for the first phase of that project. The first phase includes the following: construct a 520-parking space addition to TCOB garage; demolish the underground parking adjacent to the tower; demolish the Mero and Clinton Street overpasses; construct a new office building of approx. 270,000 sf.; demolish the tower and the remaining underground parking. (C-O) *DFSS Priority #6*
- 8 Repair Site Infrastructure Capital Plaza Complex** **\$2,500,000**
The Capital Plaza site is in need of many repairs to old systems. This project will address, but not be limited to, the following: repair heating, cooling, plumbing, electrical, concrete, and plaza deck elements/systems required to continue to have a safe and reliable operation of the Capital Plaza Complex. (C-PI) *DFSS Priority #9*
- 9 Halon System Replacement** **\$1,500,000**
The Halon Systems currently in various state-owned office buildings are obsolete. Current EPA regulations allow for recurring fines if these systems are not upgraded or replaced. *DFSS Priority #11*
- 10 Maintenance Pool-Statewide Deferred** **\$12,500,000**
This pool of maintenance funds is recommended for use on a priority basis for all state agencies and universities. Priorities will be selected by the Secretary of the Finance and Administration Cabinet in consultation with the State Budget Director and the Commissioner of the Department for Facilities and Support Services. Eligible projects may include deferred maintenance and government mandates. Funds may not be expended for new project construction. (C-PI) *DFSS Priority #8*
- 11 Design / Construct Sower Boulevard Office Complex #1** **\$99,600,000**
This project is for the design and construction of office space in Franklin County. The office space will be built on state-owned property in Frankfort in a campus setting, but could stand alone or in combination with other buildings. This will allow the state to consolidate agencies from leased office space throughout Franklin County (C-O) *DFSS Priority #7*

- 12 Predictive Dialer Update** **\$2,300,000**
The Department of Revenue's Mosaix dialer is an application comprised of both hardware and software, which allows the Department of Revenue to automate telephone calls and messages to taxpayers in a productive and efficient manner. This dialer provides an essential collection function, accounting for approximately \$10 million annually in General Fund revenues. The Department of Revenue originally purchased this equipment in 1997 and was last able to upgrade the equipment in 2007. The equipment can no longer be upgraded due to outdated hardware and software and thus the Department of Revenue risks losing the major functionality of this equipment. The current dialer platform is no longer supported. Loss of functionality could result in a reduction in General Fund collections of \$10 million or more. *Dept. of Revenue Priority #1*
- 13 Air Handler Replacement and Repair** **\$600,000**
The Department for Facilities and Support Services manages numerous properties across the state. Many of those properties have aging equipment including air handlers. This project would replace or make necessary repairs to those air handlers improving their operability, increasing their efficiency and providing enhanced occupant comfort. (C-PI) *DFSS Priority #10*
- 14 Upgrade L&N Building** **\$4,375,000**
This project is to upgrade systems in the L&N Building in Louisville. The building has 295,657-gross-square-feet. (C-PI) *DFSS Priority #12*
- 15 Acquire Land / Demolish Structures-Statewide** **\$7,500,000**
The Franklin County leased space report determined that the Commonwealth needed to reduce its dependence upon privately-owned leased space. This budget item will provide necessary funding for the purchase of unique properties throughout the state. (C-PI) *DFSS Priority #13*
- 16 Replace VAV's Frankfort Buildings** **\$2,800,000**
This project will increase occupant comfort in various state-owned facilities in Frankfort by replacing antiquated HVAC equipment with new state-of-the-art Variable Air Volume (VAV) equipment. Replacement will also lower energy consumption. (C-PI) *DFSS Priority #14*
- 17 MFE Scanner Replacement** **\$1,500,000**
Purchase and installation of two (2) high speed scanners and the accompanying software. The new scanners will replace two (2) scanners currently in use in the Department of Revenue's Modernized Front End (MFE) Scanning and Imaging System. The current scanners were purchased in 2005. (EQ) *Dept. of Revenue Priority #2*

- 18 Capitol and Capitol Annex Terrace Repairs** **\$2,518,000**
This project would make repairs to the Capitol Terrace and the Capitol Annex Terrace to address general deterioration and leaks into the occupied and unoccupied spaces underneath the terraces. (C-PI) *DFSS Priority #15*
- 19 Upgrade Capitol Campus** **\$4,500,000**
This project is to make necessary repairs and upgrades on the Capitol Campus. (C-PI) *DFSS Priority #16*
- 20 Property Tax Systems Upgrade** **\$2,500,000**
The Office of Property Valuation (OPV) utilizes three computer systems on a frequent basis. These systems, while able to perform the functions for which they were originally created, do not communicate with each other and need to be connected. They also need to be updated to a more modern, progressive platform. (IT) *Dept. of Revenue Priority #3*
- 21 Emergency Generator Repair and Replacement** **\$600,000**
The Department for Facilities and Support Services manages numerous properties across the state. Many of those properties are served by emergency generators. This project would make necessary repairs and/or replacement to those generators improving their operability and enhancing life safety. (C-PI) *DFSS Priority #17*
- 22 Chiller Replacement / Rebuild - Various** **\$1,000,000**
Finance Facilities has numerous chillers that are fast approaching life cycle refurbish/replacement age. DFSS intends to refurbish in lieu of replacing where economically possible. (C-PI) *DFSS Priority #18*
- 23 Modernize Escalator and Misc Elevator Upgrades** **\$1,500,000**
This project will address the renovation and upgrade of miscellaneous elevators and escalators in Finance Cabinet-owned buildings. (C-PI) *DFSS Priority #19*
- 24 Renovate Old Capitol / Capitol Annex** **\$2,500,000**
The funds will be used for interior and exterior renovations to the Old Capitol and Old Capitol Annex. This project would include restoring windows for both buildings, completing all exterior restorations needed, providing a preservation master plan for both buildings and all interior decorative finishes and plasterwork. The Old State Capitol is one of less than forty National Landmark buildings in Kentucky and is a crucial component of the state's political and social history. (C-PI) *DFSS Priority #20*
- 25 Deferred Maintenance for Historic Properties** **\$675,000**
All of the buildings under Historic Properties purview have documented various exterior and interior restoration and repair needs. The funds requested would be utilized for restoration projects such as: New Capitol House and Senate Restoration, Executive Mansion (1st Floor fixture restoration), Berry Mansion (Art Glass

Restoration), Old State Capitol restoration work and other documented projects. (C-PI) *DFSS Priority #21*

- 26 House and Senate Chambers Restoration** **\$2,915,000**
Funds will be used to restore House and Senate Chambers. In 2003 the architecture firm of K. Norman Berry Associates completed Phase A and B design for a total renovation of the House and Senate Chambers. A portion of the renovation involved replacing the chandeliers in both chambers. The original estimates were completed in 2003 but have been updated to reflect current costs. (C-PI) *DFSS Priority #22*
- 27 Renovate Third Floor for Archival Space - L&A** **\$620,000**
This project will add climate control to the third floor of the Libraries and Archives building for storage of archived materials. (C-PI) *DFSS Priority #23*
- 28 Statewide Roof Repair / Replacement** **\$750,000**
Funding will cover various failing roofs of Finance-owned buildings throughout the Commonwealth. Various roofs are reaching their expected life and failing beyond repair. (C-PI) *DFSS Priority #24*
- 29 Statewide Parking Lot Improvement** **\$600,000**
Funding will provide the maintenance needed to patch, seal, repaint, or repave various parking lots of Finance-owned properties across the Commonwealth which are in need of repair or replacement. (C-PI) *DFSS Priority #25*

FINANCE AND ADMINISTRATION CABINET
Proposed Projects Involving the General Fund (Cash or Bonds) – *cont'd*
 (projects listed in alphabetical order)

2016-2018

Acquire Land / Demolish Structures - Statewide	\$ 7,500,000
Air Handler Replacement and Repair	1,300,000
Capital Const. and Equip Purchase Contingency Fund	12,500,000
Chiller Replacement/Rebuild – Various	1,000,000
Deferred Maintenance for Historic Properties	675,000
Design Capitol Annex Addition and Renovation	4,265,000
Design Completion of Capitol Restoration	3,429,000
Emergency Generator Repair and Replacement	600,000
Emergency Repair, Maintenance and Replacement Fund	12,500,000
Energy Management System Implementation	13,000,000
Kentucky One Stop Phase 3	4,350,000
Maintenance Pool	9,000,000
Maintenance Pool - Statewide Deferred	12,500,000
Modernize Escalator and Misc Elevator Upgrades	2,000,000
Renewal of the Capital Plaza Complex	30,000,000
Repair Site Infrastructure Capital Plaza Complex	2,500,000
Replace VAV's Frankfort Buildings	2,800,000
Statewide Parking Lot Improvement	600,000
Statewide Roof Repair / Replacement	750,000
Upgrade Capitol Campus	5,500,000

2018-2020

Acquire Land / Demolish Structures-Statewide	\$ 7,500,000
Air Handler Replacement and Repair	900,000
Capital Construction and Equipment Purchase Contingency Fund	12,500,000
Chiller Replacement / Rebuild – Various	1,000,000
Construct Capitol Annex Addition and Renovation	92,170,000
Construct Restoration / Renovation Capitol	110,031,000
Emergency Generator Repair and Replacement	600,000
Emergency Repair, Maintenance and Replacement Fund	12,500,000
Energy Management System Implementation	12,000,000
Maintenance Pool	9,000,000
Maintenance Pool - Statewide Deferred	12,500,000
Modernize Escalator and Misc Elevator Upgrade	2,000,000
Repair Site Infrastructure Capital Plaza Complex	2,500,000
Statewide Parking Lot Improvements	600,000
Upgrade Capitol Campus	4,000,000

FINANCE AND ADMINISTRATION CABINET
Proposed Projects NOT Involving the General Fund
(Projects listed in alphabetical order)

2014-2016

Guaranteed Energy Savings Performance Contracts	\$50,000,000 OT-LTF
Postal Equipment Purchase	2,000,000 RF

2016-2018

Guaranteed Energy Savings Performance Contracts	\$50,000,000 OT-LTF
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2018-2020

Guaranteed Energy Savings Performance Contracts	\$ 50,000,000 OT-LTF
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FINANCE AND ADMINISTRATION CABINET
Proposed Projects Involving Agency Bonds

2014-2016

Alternate Data Center (ADC) - Business Continuity **\$4,700,000 AB**

The ADC establishes a physical location where mission critical data and the supporting systems are replicated, and not simply restored when needed. As backup systems are implemented at the ADC, data will flow in real-time from the production systems at the current Commonwealth Data Center (CDC) to the backup systems at the ADC. In this scenario, when data is updated at the CDC in Frankfort, it is also updated (replicated) in its sister system, housed at the ADC more than 50 miles away. This process represents the primary difference between Disaster Recovery and Business Continuity. This step moves the Commonwealth along the continuum toward business continuity, where the state can be merely avoiding negative effects of a localized outage instead of trying to recover from an outage. (Commonwealth Office of Technology)

2016-2018

None

2018-2020

Alternate Data Center-Business Continuity **\$4,700,000 AB**

COMMONWEALTH OFFICE OF TECHNOLOGY
Proposed Projects Involving Agency Bonds

2014-2016

Alternate Data Center (ADC) - Business Continuity	\$4,700,000 AB
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2016-2018

None

2018-2020

Alternate Data Center-Business Continuity	\$4,700,000 AB
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DEPARTMENT OF REVENUE

Proposed Projects - Involving the General Fund (Cash or Bonds)

2014-2016

1 Predictive Dialer Update	\$2,300,000
2 Modernized Front End Scanner Replacement	1,500,000
3 Property Tax Systems Upgrade	2,500,000

2016-2018

None

2018-2020

None

DEPT. FOR FACILITIES AND SUPPORT SERVICES
Proposed Projects- Involving the General Fund (Cash or Bonds)

2014-2016

(Projects listed by agency priority)

1	Emergency Repair, Maintenance, and Replacement Fund	\$ 12,500,000
2	Capital Const. and Equip Purchase Contingency Fund	12,500,000
3	Maintenance Pool, 2014 – 2016	9,000,000
4	Upgrade Data Center Readiness	5,000,000
5	Energy Management System Implementation	11,000,000
6	Renewal of the Capital Plaza Complex	132,400,000
7	Design/Construct Sower Boulevard Office Complex #1	99,600,000
8	Maintenance Pool - Statewide Deferred	12,500,000
9	Repair Site Infrastructure Capital Plaza Complex	2,500,000
10	Air Handler Replacement and Repair	600,000
11	Halon System Replacement	1,500,000
12	Upgrade L&N Building	4,375,000
13	Acquire Land / Demolish Structures-Statewide	7,500,000
14	Replace VAV's Frankfort Buildings	2,800,000
15	Capitol and Capitol Annex Terrace Repairs	2,518,000
16	Upgrade Capitol Campus	4,500,000
17	Emergency Generator Repair and Replacement	600,000
18	Chiller Replacement / Rebuild – Various	1,000,000
19	Modernize Escalator and Misc Elevator Upgrades	1,500,000
20	Renovate Old Capitol / Capitol Annex	2,500,000
21	Deferred Maintenance for Historic Properties	675,000
22	House and Senate Chambers Restoration	2,915,000
23	Renovate 3rd Floor for Archival Space – Libraries and Archives	620,000
24	Statewide Roof Repair / Replacement	750,000
25	Statewide Parking Lot Improvement	600,000

DEPT. FOR FACILITIES AND SUPPORT SERVICES
Proposed Projects- Involving the General Fund (Cash or Bonds) – *cont'd*

2016-2018

(Projects listed in alphabetical order)

Acquire Land / Demolish Structures-Statewide	\$ 7,500,000
Air Handler Replacement and Repair	1,300,000
Capital Construction and Equipment Purchase Contingency Fund	12,500,000
Chiller Replacement / Rebuild – Various	1,000,000
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Design Capitol Annex Addition and Renovation	4,265,000
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Emergency Generator Repair and Replacement	600,000
Emergency Repair, Maintenance and Replacement Fund	12,500,000
Energy Management System Implementation	13,000,000
Maintenance Pool	9,000,000
Maintenance Pool-Statewide Deferred	12,500,000
Modernize Escalator and Misc Elevator Upgrades	2,000,000
Renewal of the Capital Plaza Complex	30,000,000
Repair Site Infrastructure Capital Plaza Complex	2,500,000
Replace VAV's Frankfort Buildings	2,800,000
Statewide Parking Lot Improvement	600,000
Statewide Roof Repair / Replacement	750,000
Upgrade Capitol Campus	5,500,000

2018-2020

(Projects listed in alphabetical order)

Acquire Land / Demolish Structures-Statewide	\$ 7,500,000
Air Handler Replacement and Repair	900,000
Capital Construction and Equipment Purchase Contingency Fund	12,500,000
Chiller Replacement / Rebuild – Various	1,000,000
Construct Capitol Annex Addition and Renovation	92,170,000
Construct Restoration / Renovation Capitol	110,031,000
Emergency Generator Repair and Replacement	600,000
Emergency Repair, Maintenance and Replacement Fund	12,500,000
Energy Management System Implementation	12,000,000
Maintenance Pool, 2018 – 2020	9,000,000
Maintenance Pool-Statewide Deferred	12,500,000
Modernize Escalator and Misc Elevator Upgrade	2,000,000
Repair Site Infrastructure Capital Plaza Complex	2,500,000
Statewide Parking Lot Improvements	600,000
Upgrade Capitol Campus	4,000,000

**DEPARTMENT FOR FACILITIES AND SUPPORT SERVICES
OTHER PROPOSED PROJECTS UTILIZING
LONG-TERM FUNDING**

	<u>2014-2016</u>
Guaranteed Energy Performance Projects	\$50,000,000

	<u>2016-2018</u>
Guaranteed Energy Performance Projects	\$50,000,000

	<u>2018-2020</u>
Guaranteed Energy Performance Projects	\$50,000,000

Office of Administrative Services

Proposed Projects - Involving the General Fund (Cash or Bonds)

2014-2016

Kentucky One-Stop Phase 2	\$7,243,000
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2016-2018

Kentucky One-Stop Phase 3	\$4,350,000
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Other Proposed Project – Restricted Funds

2014-2016

Postal Equipment Purchase	\$2,000,000
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